**Significant Financial Interest Disclosure Form**

The College uses your responses on this form to determine whether any of your significant financial interests represent a financial conflict of interest (pursuant to the Financial Conflict of Interest policy.) Please refer to the Swarthmore College Financial Conflict of Interest Policy for more information.

First Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Last Name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Department: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Email: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**1. Significant Financial Interest (see Policy for definition.)** Do you, your spouse, or dependent children have a significant financial interest that: (1) would reasonably appear to be affected by your research;  
 and (2) is in an entity or entities whose financial interests would reasonably appear to be affected by your research?

**a. Salary or other payment for services (e.g. consulting fees, honoraria or paid authorship)**

Yes \_\_\_\_

No \_\_\_\_\_

**If yes, please describe each instance of salary or payment for services and dollar amounts:**

**b. Equity Interests (e.g. stock, stock options, or other ownership interests)**

Yes \_\_\_\_\_

No \_\_\_\_\_\_

**If yes, please describe each equity interest, including value of stock or ownership interest:**

**c. Intellectual Property Rights (e.g. patents, copyrights, and royalties from such rights)**

Yes \_\_\_\_\_

No \_\_\_\_\_

**If yes, please describe each instance of intellectual property rights:**

1 of 3

**d. Sponsored or reimbursed travel (i.e travel paid on your behalf)**

Yes \_\_\_\_\_

No \_\_\_\_\_

**If yes, please describe each instance of sponsored or reimbursed travel and dollar amount:**

**e. Other**

Yes \_\_\_\_\_

No \_\_\_\_\_

**If yes, please describe, including the degree of commitment:**

**2. Are you engaged in Government Consultanships?**

Yes \_\_\_\_\_

No \_\_\_\_\_

**If yes, please describe all such relationships:**

**3. Have you engaged in an extramural program related to your research with either a government agency or private firm within the past year?**

Yes \_\_\_\_\_

No \_\_\_\_\_

**If yes, indicate name of each such program and agency/firm:**

2 of 3

**4. Other Pertinent Information.**

**Please use the space below to provide any further information or explanatory comments:**

ACKNOWLEDGEMENT: By signing below, I confirm that I have reviewed and understand Swarthmore College’s Financial Conflict of Interest Policy. The above information is complete and accurate to the best of my knowledge. I agree to update this disclosure during the period of the award as new reportable significant financial interests are obtained.

I agree \_\_\_\_\_\_

I do not agree \_\_\_\_\_\_

Signature:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

August 24, 2012

3 of 3